

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q83324

Kenji YAKUSHIJI

Appln. No.: National Stage of PCT/JP2005/015308

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: March 22, 2006

Examiner: Unknown

For: METHOD FOR FABRICATION OF SEMICONDUCTOR LIGHT-EMITTING DEVICE  
AND THE DEVICE FABRICATED BY THE METHOD

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.C./

INFORMATION DISCLOSURE STATEMENT  
Appln. No.: National Stage of PCT/JP2005/015308  
Attorney Docket No. Q83324


filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (International Search Report for PCT/JP2005/015308 dated September 27, 2005), together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



Abraham J. Rosner  
Registration No. 33,276

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: March 22, 2006

Substitute for Form 1449 A &amp; B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

1

Application Number

National Stage of

PCT/JP2005/015308

Confirmation Number

Unknown

Filing Date

March 22, 2006

First Named Inventor

Kenji YAKUSHIJI

Art Unit

Unknown 4174

Examiner Name

Chen, Shizhi

Attorney Docket Number

Q83324

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
		US			
		US			
		US			
		US			
		US			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	2004-31526	A	01/29/2004	TOYODA GOSEI CO. LTD.	Yes
		JP	2001-284293	A	10/12/2001	TOYODA GOSEI CO. LTD.	Yes
		JP	11-163403	A	06/18/1999	NICHIA CHEM. IND. LTD.	Yes
		JP	2001-284291	A	10/12/2001	TOYODA GOSEI CO. LTD.	Yes
		JP	2003-17790	A	01/17/2003	MATSUSHITA ELECTRIC IND. CO. LTD.	Yes
		JP	2003-78164	A	03/14/2003	NICHIA CHEM. IND. LTD.	Yes
		JP	2003-218065	A	07/31/2003	NICHIA CHEM. IND. LTD.	Yes
		JP	2003-338638	A	11/28/2003	NICHIA CHEM. IND. LTD.	Yes
		JP	2005-252245	A	09/15/2005	SHOWA DENKO KK	Yes
		JP	5-343742	A	12/24/1993	NICHIA CHEM. IND. LTD.	Yes
		JP	11-354841	A	12/24/1999	ROHM CO. LTD.	Yes
		JP	6-244458	A	09/02/1994	NICHIA CHEM. IND. LTD.	Yes
		JP	11-163403	A	06/18/1999	NICHIA CHEM. IND. LTD.	Yes

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		A. R. FRANKLIN, ET AL, "SHAPED ELECTROLUMINESCENT GaAs DIODES", JOURNAL OF APPLIED PHYSICS, Vol. 35, No. 4, April 1964, pages 1153-1155	

Examiner Signature

/Shizhi Chen/

Date Considered

07/17/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.C./